

Item 13A - Brief description

Bloomberg – Bloomberg Vault

Organization Name: Bloomberg Finance L.P.

Effective date: 23 June 2014

Address:

BLOOMBERG FINANCE L.P.
731 Lexington Avenue
New York, NY 10022

Short description:

Bloomberg Vault is used for storing and surveillance of communication data such as email and chat. The system is maintained by Bloomberg and SEB is entering and accessing data through system GUI as well as interfaces.

TS Imagine - SwapOne

Organization Name: TS Imagine

Effective date: 20 May 2021

Address:

125 West 25th St, 8th Floor
New York, NY 10001
United States of America

Short description:

SwapOne is used for position keeping and life cycle management of equity swaps. The system is maintained by TS Imagine and SEB is entering and accessing data through system GUI as well as interfaces.

S&P Global Market Intelligence – ISDA Amend

Organization Name: S&P Global Market Intelligence

Effective date: 12 December 2012

Address:

4th floor, Ropemaker Place
25 Ropemaker Street
London EC2Y 9LY
United Kingdom

Short description:

ISDA Amend is used for making and storing regulatory elections, declarations and securely permission information to trading counterparties via ISDA protocols. The system is maintained by S&P and SEB is entering and accessing data through system GUI.

Item 13B - Brief description

Organization Name: JP Morgan AG, Frankfurt

Effective date: 23 October 2020

Address:

Taunustor 1
60310 Frankfurt am Main
Germany

Short description:

JP Morgan AG, Frankfurt is the Clearing Member for CDS trades cleared at LCH CDS Clear, which SEB uses for clearing single-name and iTraxx CDS at that CCP.

Organization Name: BNP Paribas Securities Services London

Effective date: 1st February 2005

Address:

10 Harewood Avenue
London NW1 6AA
United Kingdom

Short description:

The service arrangement includes custody, settlement and services regarding tax and corporate events.

Organization Name: Brown Brothers Harriman & Co (BBH)

Effective date: 14th October 2014

Address:

50 Post Office Square
Boston, Massachusetts 02110-1548
US

Short description:

The service arrangement includes custody, settlement and services regarding tax and corporate events for the US market.