

BD Number: 288946

10/09/2025

Are there any indirect owners of the *applicant* required to be reported on Schedule B?

☒ Yes ☐ No

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
CROSS, ANNA	I	FINANCIAL AND OPERATIONS PRINCIPAL	11/2021	NA	N	N	5894013
IMPERATIVE EXECUTION INC.	DE	SOLE MEMBER	11/2016	E	Y		81-3806732
KURSMAN, SCOTT CHARLES	I	CHIEF COMPLIANCE OFFICER	10/2024	NA	N	N	2590327
PALAZZO, JOHN MICHAEL	I	CEO, CO-COO	04/2018	NA	Y	N	1362228
PENA, PETER JONATHAN	I	HEAD OF TRADING OPERATIONS	06/2017	NA	N		6847261