

Nomura International plc**Form A Schedule B Section II re Q14****Both arrangements have also been keyed directly on EDGAR**

<i>Relates to Question No.</i>	<i>Firm or Organization Name</i>	<i>SEC File Number</i>	<i>CRD Number</i>	<i>NFA Number</i>	<i>IARD Number</i>	<i>UIC Number</i>	<i>CIK Number</i>	<i>Business Address</i>	<i>Effective Date (MM DD YYYY)</i>	<i>Termination Date (MM DD YYYY)</i>	<i>Nature of the Control or Agreement</i>
14	Nomura Europe Holdings plc	-	-	0455943	-	549300IU15NXFPV2FC82	-	1 Angel Lane, London EC4R 3AB, UK	03 30 1999	N/a	By virtue of the applicant being a 100% owned subsidiary of Nomura Europe Holdings plc (NEHS), NEHS sets the overall strategy for its group entities but it is up to the individual Boards to ensure the strategy is appropriate for the individual company and to implement it. The applicant has delegated certain matters to the NEHS board level committees, however, any applicant-specific matters to the applicant's Board and any related decisions required are made by that Board. When a committee makes any recommendation it is always clear to which Board they are recommending the requisite approval.
14	Nomura Holdings, Inc	-	-	0235033	-	549300B3CEAHYG7K8164	0001163653	1 13-1 Nihonbashi 1 -Chome Chuo-Ku, Tokyo 103-8645, Japan	03 30 1999	N/a	By virtue of being part of the Nomura Group (applicant is 100% owned subsidiary of Nomura Europe Holdings plc which is a 100% owned subsidiary of Nomura Holdings, Inc), the applicant will operate within group risk appetite and policy frameworks, adapted to adhere to local requirements as appropriate.