

### Exhibit 3

Form ATS-N

JPMS CRD # 79

**Part I, Item 8—Identifying Information**

**Request:** *Attach as Exhibit 1, the most recently filed or amended Schedule A of Form BD for the Broker-Dealer Operator disclosing information related to direct owners and executive officers.*

**Response:**

**Schedule A –Direct Owners**

Full Legal Name	CRD / Tax ID	Entity Type	Roles	Date Role Acquired	Ownership %	Control Person	Public Reporting Company
J.P. Morgan Broker- Dealer Holdings Inc.	13-4110995	Domestic Entity	Member	09/2010	75% or more	Y	N
Aidelson, David	7884539	Individual	Chief Legal Officer	02/2024	Less than 5%	N	N
Alaoui Abdallaoui, Rachid	7122597	Individual	<a href="#">Chief Executive Officer, Chair, Elected Manager</a>	<del>08</del> 11/2024	Less than 5%	Y	N
Balas, Thomas Michael	2903336	Individual	Chief Financial Officer	04/2026	Less than 5%	N	N
Bisesi, Brian J	3090554	Individual	Elected Manager	02/2022	Less than 5%	Y	N
Choi, Michael	6597789	Individual	Chief Compliance Officer – Registered Investment Adviser	09/2023	Less than 5%	N	N
Colavita, Mollie	1875615	Individual	Elected Manager	02/2026	Less than 5%	Y	N
Dempsey, Patrick Paul	2830362	Individual	Treasurer	08/2013	Less than 5%	N	N
Englander, Adam F	2941059	Individual	Elected Manager	02/2025	Less than 5%	Y	N
Foley, Kevin	4012244	Individual	Elected Manager	02/2024	Less than 5%	Y	N
Klion, Roger	5082261	Individual	Chief Operating Officer	10/2020	Less than 5%	N	N
McCrum, Carrie	7675791	Individual	Elected Manager	09/2023	Less than 5%	Y	N
Sable, Matthew D	4835849	Individual	Elected Manager	05/2025	Less than 5%	Y	N
Tepper, Eric David	2242377	Individual	Elected Manager	08/2017	Less than 5%	Y	N
Vienick, Paul	2212982	Individual	Elected Manager	02/2024	Less than 5%	Y	N
Villwock, David	8071637	Individual	Chief Compliance Officer –Broker Dealer	09/2025	Less than 5%	N	N

**Summary report:  
 Litera Compare for Word 11.8.0.56 Document comparison done on  
 6/8/2026 11:11:17 AM**

<b>Style name:</b> Default Style	
<b>Intelligent Table Comparison:</b> Active	
<b>Original DMS:</b> iw://jpmc.cloudimanager.com/AMER_ACTIVE/109212163/5	
<b>Modified DMS:</b> iw://jpmc.cloudimanager.com/AMER_ACTIVE/109212163/6	
<b>Changes:</b>	
<u>Add</u>	2
<del>Delete</del>	1
<del>Move From</del>	0
<u>Move To</u>	0
<u>Table Insert</u>	0
<del>Table Delete</del>	0
<u>Table moves to</u>	0
<del>Table moves from</del>	0
Embedded Graphics (Visio, ChemDraw, Images etc.)	0
Embedded Excel	0
Format changes	0
<b>Total Changes:</b>	<b>3</b>