

Exhibit 3

**Form ATS-N
JPMS CRD # 79
Part I, Item 8—Identifying Information**

Request: *Attach as Exhibit 1, the most recently filed or amended Schedule A of Form BD for the Broker-Dealer Operator disclosing information related to direct owners and executive officers.*

Response:

Schedule A –Direct Owners

| Full Legal Name | CRD / Tax ID | Entity Type | Roles | Date Role Acquired | Ownership % | Control Person | Public Reporting Company |
|--|-------------------------|----------------------------|---|-------------------------|------------------------------|-------------------|--------------------------|
| J.P. Morgan Broker- Dealer Holdings Inc. | 13-4110995 | Domestic Entity | Member | 09/2010 | 75% or more | Y | N |
| Aidelson, David | 7884539 | Individual | Chief Legal Officer | 02/2024 | Less than 5% | N | N |
| Alaoui Abdallaoui, Rachid | 7122597 | Individual | Chief Executive Officer, Chair, Elected Manager | 08 11/2024 | Less than 5% | Y | N |
| Balas, Thomas Michael | 2903336 | Individual | Chief Financial Officer | 04/2026 | Less than 5% | N | N |
| Bisesi, Brian J | 3090554 | Individual | Elected Manager | 02/2022 | Less than 5% | Y | N |
| Choi, Michael | 6597789 | Individual | Chief Compliance Officer – Registered Investment Adviser | 09/2023 | Less than 5% | N | N |
| Colavita, Mollie | 1875615 | Individual | Elected Manager | 02/2026 | Less than 5% | Y | N |
| Collins, James Michael | 2725065 | Individual | Chief Financial Officer | 06/2008 | Less than 5% | N | N |
| Dempsey, Patrick Paul | 2830362 | Individual | Treasurer | 08/2013 | Less than 5% | N | N |
| Englander, Adam F | 2941059 | Individual | Elected Manager | 02/2025 | Less than 5% | Y | N |
| Foley, Kevin | 4012244 | Individual | Elected Manager | 02/2024 | Less than 5% | Y | N |
| Klion, Roger | 5082261 | Individual | Chief Operating Officer | 10/2020 | Less than 5% | N | N |
| McCrum, Carrie | 7675791 | Individual | Elected Manager | 09/2023 | Less than 5% | Y | N |
| Sable, Matthew D | 4835849 | Individual | Elected Manager | 05/2025 | Less than 5% | Y | N |
| Tepper, Eric David | 2242377 | Individual | Elected Manager | 08/2017 | Less than 5% | Y | N |
| Vienick, Paul | 2212982 | Individual | Elected Manager | 02/2024 | Less than 5% | Y | N |

| Full Legal Name | CRD / Tax ID | Entity Type | Roles | Date Role Acquired | Ownership % | Control Person | Public Reporting Company |
|------------------------|---------------------|--------------------|---|---------------------------|--------------------|-----------------------|---------------------------------|
| Villwock, David | 8071637 | Individual | Chief Compliance Officer –Broker Dealer | 09/2025 | Less than 5% | N | N |

| Summary report: Litera Compare for Word 11.8.0.56 Document comparison done on 6/8/2026 10:55:28 AM | |
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| Style name: Default Style | |
| Intelligent Table Comparison: Active | |
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| Modified DMS: iw://jpmc.cloudimanager.com/AMER_ACTIVE/109212163/6 | |
| Changes: | |
| Add | 2 |
| Delete | 1 |
| Move From | 0 |
| Move To | 0 |
| Table Insert | 1 |
| Table Delete | 1 |
| Table moves to | 0 |
| Table moves from | 0 |
| Embedded Graphics (Visio, ChemDraw, Images etc.) | 0 |
| Embedded Excel | 0 |
| Format changes | 0 |
| Total Changes: | 5 |