

**Primary Business Name: NATIONAL FINANCIAL SERVICES
LLC**
BD - AMENDMENT
02/26/2026

**BD Number:
13041**

BD - DIRECT OWNERS/EXECUTIVE OFFICERS

Are there any indirect owners of the *applicant* required to be reported on Schedule B?
 Yes No

| | | | |
|-------------------------|---------------------------------|----------------------------------|----------------------------------|
| Ownership Codes: | NA - less than 5% | B - 10% but less than 25% | D - 50% but less than 75% |
| | A - 5% but less than 10% | C - 25% but less than 50% | E - 75% or more |

| Full Legal Name | DE/FE/I | Title or Status | Date Acquired | Own. Code | Control Person | PR | CRD #(or S.S.No., IRS Tax #, Emp. ID) |
|---------------------------------------|---------|--|---------------|-----------|----------------|----|---------------------------------------|
| ADAMS, ROBERT JOHN | I | CHIEF OPERATIONS OFFICER/ELECTED MANAGER | 06/2019 | NA | Y | N | 1291582 |
| CRUPI, KAREN MICHELE | I | CHIEF LEGAL OFFICER | 08/2008 | NA | N | N | 2982229 |
| DYER, JANET MARIE | I | CHIEF COMPLIANCE OFFICER | 03/2021 | NA | Y | N | 3186352 |
| FIDELITY GLOBAL BROKERAGE GROUP, INC. | DE | SOLE MEMBER | 06/2000 | E | Y | N | 04-3257666 |
| RHODES, NOAH BUTLER | I | CHIEF FINANCIAL OFFICER | 10/2025 | NA | Y | N | 6546392 |
| TESAURO, THOMAS JOHN | I | ELECTED MANAGER | 01/2017 | NA | Y | N | 1862532 |
| TESAURO, THOMAS JOHN | I | PRESIDENT | 01/2026 | NA | Y | N | 1862532 |