

### Exhibit 3

**Form ATS-N  
JPMS CRD # 79  
Part I, Item 8—Identifying Information**

**Request:** *Attach as Exhibit 1, the most recently filed or amended Schedule A of Form BD for the Broker-Dealer Operator disclosing information related to direct owners and executive officers.*

**Response:**

**Schedule A –Direct Owners**

Full Legal Name	CRD / Tax ID	Entity Type	Roles	Date Role Acquired	Ownership %	Control Person	Public Reporting Company
J.P. Morgan Broker- Dealer Holdings Inc.	13-4110995	Domestic Entity	Member	09/2010	75% or more	Y	N
Aidelson, David	7884539	Individual	Chief Legal Officer	02/2024	Less than 5%	N	N
Alaoui Abdallaoui, Rachid	7122597	Individual	Elected Manager	08/2024	Less than 5%	Y	N
<a href="#">Balas, Thomas Michael</a>	<a href="#">2903336</a>	<a href="#">Individual</a>	<a href="#">Chief Financial Officer</a>	<a href="#">04/2026</a>	<a href="#">Less than 5%</a>	<a href="#">N</a>	<a href="#">N</a>
Bisesi, Brian J	3090554	Individual	Elected Manager	02/2022	Less than 5%	Y	N
Choi, Michael	6597789	Individual	Chief Compliance Officer – Registered Investment Adviser	09/2023	Less than 5%	N	N
Colavita, Mollie	1875615	Individual	Elected Manager	02/2026	Less than 5%	Y	N
<b>Collins, James Michael</b>	<b>2725065</b>	<b>Individual</b>	<b>Chief Financial Officer</b>	<b>06/2008</b>	<b>Less than 5%</b>	<b>N</b>	<b>N</b>
Dempsey, Patrick Paul	2830362	Individual	Treasurer	08/2013	Less than 5%	N	N
Englander, Adam F	2941059	Individual	Elected Manager	02/2025	Less than 5%	Y	N
Foley, Kevin	4012244	Individual	Elected Manager	02/2024	Less than 5%	Y	N
Klion, Roger	5082261	Individual	Chief Operating Officer	10/2020	Less than 5%	N	N
McCrum, Carrie	7675791	Individual	Elected Manager	09/2023	Less than 5%	Y	N
Sable, Matthew D	4835849	Individual	Elected Manager	05/2025	Less than 5%	Y	N
Tepper, Eric David	2242377	Individual	Elected Manager	08/2017	Less than 5%	Y	N
Vienick, Paul	2212982	Individual	Elected Manager	02/2024	Less than 5%	Y	N

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<b>Full Legal Name</b>	<b>CRD / Tax ID</b>	<b>Entity Type</b>	<b>Roles</b>	<b>Date Role Acquired</b>	<b>Ownership %</b>	<b>Control Person</b>	<b>Public Reporting Company</b>
Villwock, David	8071637	Individual	Chief Compliance Officer –Broker Dealer	09/2025	Less than 5%	N	N

**Summary report:**  
**Litera Compare for Word 11.8.0.56 Document comparison done on**  
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Embedded Excel	0
Format changes	0
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