

Primary Business Name: MOSAIC ATS, LLC **BD Number: 335824**
BD - AMENDMENT
08/29/2025

BD - INDIRECT OWNERS

Ownership Codes: **C - 25% but less than 50%** **E - 75% or more**
 D - 50% but less than 75% **F - Other General Partners**

Full Legal Name	DE/FE/I	Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR CRD # (or SSN, IRS Tax #, Emp. ID)
COSENZA, JOHN ALBERT	I	MOSAIC PLATFORMS, INC.	SHAREHOLDER	03/2025	C	Y	N 4073037
WALD, JOSEPH	I	MOSAIC PLATFORMS, INC.	SHAREHOLDER	03/2025	C	Y	N 2448482

