This is an Updating Amendment, changes in this amendment are related to FORM BD updates. The changes include an update to the new CCO, Katarzyna Inglot, replacing CCO Andrew Tourney. A new Chair role, adding George Ruhana; and an update to the FINOP person replacing Kati Synder, to Ralph D'auge.

These changes are applicable to all Subscribers and the Broker-Dealer Operator.

BD - DIRECT OWNERS/EXECUTIVE OFFICERS

Are there any indirect owners of the applicant required to be reported on Schedule B?						
•						
	es No					
Ownership Codes: NA - less than	B - 10% but less than 25% D - 50% but l	ess than 75%				

- 5% but less than 10% C - 25% but less than 50%

E - 75% or

Full Legal Name	DE/FE/I	Title or Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax #, Emp. ID)
BRUCE MARKETS HOLDINGS LLC	DE	MANAGING MEMBER	08/2024	E	Υ	N	99-4269919
INGLOT, KATARZYNA	Ī	CHIEF COMPLIANCE OFFICER	07/2025	<u>NA</u>	Y	N	<u>4902558</u>
TOURNEY, ROBERT ANDREW	Ŧ	CHIEF COMPLIANCE OFFICER	01/2024	NA	¥	N	6251742
LISH, MICHAEL K	I	CHIEF OPERATING OFFICER, PRINCIPAL OPERATIONS OFFICER	09/2024	NA	N	N	4539941
SNYDER, KATI MARIE	Ŧ	FINOP/PRINCIPAL FINANCIAL OFFICER	04/2025	NA	N	14	7123602
WALLACH, JASON	I	CHIEF EXECUTIVE OFFICER	01/2025	NA	Υ	N	4918286
RUHANA, GEORGE WILLIAM	Ī	CHAIR - BOARD OF DIRECTORS, BRUCE MARKETS HOLDINGS LLC		<u>NA</u>	Y	N	<u>5517069</u>
<u>D'AUGE, RALPH</u> <u>ANTHONY</u>	Ī	FINOP/PRINCIPAL FINANCIAL OFFICER	07/2025	<u>NA</u>	Y	N	<u>4664611</u>