

Application for Registration as a Security-based
Swap Dealer and Major Security-based Swap
Participant that is Registered or Registering with
the CFTC as a Swap Dealer or Major Swap
Participant

Official Use

Official
Use
Only

FORM SBSE-A

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Date: 28 July 2025

Applicant NFA Number: 291281

WARNING:

Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of business as an SBS Entity, would violate the Federal securities laws and the laws of the jurisdictions and may result in disciplinary, administrative, injunctive or criminal action.

INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE FEDERAL CRIMINAL VIOLATIONS.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)

☐ APPLICATION

☒ AMENDMENT

1. Exact name, principal business address, mailing address, if different, and telephone number of the applicant:

A. Full name of the applicant:

Citigroup Global Markets Ltd

B. IRS Empl. Ident. No.:

0000000

C. Applicant's NFA ID #:

291281

Applicant's CIK # (if any):

0001012467

Applicant's UIC # (if any):

XKZZ2JZF41MRHTR1V493

D. Applicant's Main Address: (Do not use a P.O. Box)

Number and Street 1:

CITIGROUP CENTRE, CANADA SQUARE

Number and Street 2:

CANARY WHARF

City:

London

State:

Country:

England, UK

Zip/Postal Code:

E14 5LB

E. Mailing Address, if different:

Number and Street 1:

Number and Street 2:

City:

State:

Country:

Zip/Postal Code:

F. Business Telephone Number:

G. Website/URL:

H. Contact Employee:

Name:

Michael McClain

Title:

SVP, Compliance Product Director

Telephone Number:

447167306178

Email Address:

michael.mcclain@citi.com

I. Chief Compliance Officer designated by the applicant in accordance with Exchange Act Section 15F(k):

Name:

David Flowerday

Title:

Chief Compliance Officer

Telephone Number:

442075086939

Email Address:

david.flowerday@citi.com

EXECUTION:

The applicant consents that service of any civil action brought by or notice of any proceeding before the Securities and Exchange Commission in connection with the applicant's security-based swap activities, unless the applicant is a nonresident SBS Entity, may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address if different, given in Items 1E and 1F. If the applicant is a nonresident SBS Entity, it must complete Schedule F to designate a U.S. agent for service of process.

The undersigned certifies that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including schedules attached hereto, and other information filed herewith are current, true and complete. The undersigned and applicant further represent that to the extent any information previously submitted is not amended such information is currently accurate and complete.

Citigroup Global Markets Ltd

Date (MM/DD/YYYY)

By:

Signature

David Flowerday

Name of Applicant

David Flowerday, Chief Compliance Officer

Name and Title of Person Signing on Applicant's behalf

This page must always be completed in full.

DO NOT WRITE BELOW THIS LINE – FOR OFFICIAL USE ONLY