Exhibit 9. Information about the designated compliance officer (identified in Item 4) of the Applicant/NRSRO:

- Name. Michael Brawer
- Employment history. See below
- Post-secondary education. See below
- Whether employed by the Applicant/NRSRO full-time or part-time. **Mr. Brawer is** employed full-time by Egan-Jones Ratings, the NRSRO.

EMPLOYMENT HISTORY

Egan-Jones Ratings November 2019 – Present
New York, NY and Haverford, PA
Designated Compliance Officer and
Chief Risk Officer

Morningstar Credit Ratings

New York, NY

Chief Operating Officer

Chief Compliance Officer

July 2018 – November 2019

August 2016 – July 2018

S&P Global New York, NY

Sr. Director, Enterprise Risk Management 2013 – 2016 Global Head of Regulatory Audit 2011 – 2013 Head of Compliance Monitoring and Examinations 2008 – 2011

American International Group, Inc (AIG) February 2006 – February 2008 New York, NY

Director, Compliance Monitoring and Examinations

Prudential Financial, Inc. April 2004 – February 2006

Newark, NJ

Director of Compliance, International Insurance

AXA Advisors, LLC February 2000 – April 2004

Miami, FL

Compliance Manager, International Insurance Operations

John Hancock Financial, Inc. April 1996 – February 2000

Miami, FL

Compliance Specialist

EDUCATION

University of Miami Coral Gable, FL June 2001

• Master of Business Administration, Finance Concentration

George Washington University Washington, DC

May 1993

• Bachelor of Arts, International Affairs Concentration